

### REMARKS

The Office asserts that a process that includes selectively hydrogenating the carbon-carbon double bonds of pseudoionone to form tetrahydrogeranylacetone is obvious over a process that includes hydrogenating an olefinic material (Kaibel - U.S. 5,939,589) in combination with a process that includes hydrogenating a compound having one C-C double bond and one carbonyl bond (Broecker - U.S. 6,150,564). Applicants traverse the rejection for the reason, *inter alia*, that Broecker does not disclose or suggest that improved selectivity may be obtained when hydrogenating molecules having more than one C-C unsaturation.

Applicants submit that it is readily recognized by those of skill in the art that the rate of hydrogenation of different C-C unsaturations varies with the chemical environment of the C-C unsaturation. Applicants submit herewith pages 189-190 of "Ullmann's Encyclopedia of Industrial Chemistry, 5th Edition", Volume A10 (1987) which disclose the following with respect to the selectivity of C-C double bond hydrogenation in a molecule that has several double bonds in different chemical environments:

A fatty acid with several double bonds, such as linolenic acid..., is hydrogenated more quickly to linoleic acid (C<sub>18:3</sub>) or oleic acid (C<sub>18:2</sub>) than is linolenic acid to oleic acid (C<sub>18:1</sub>) or oleic acid to stearic acid (C<sub>18:0</sub>).

See page 189 right hand column. In the above quoted text (C<sub>18:X</sub>) represents a 18 carbon molecule with "X" C-C double bonds.

Ullmann's therefore shows that the reactivity of all double bonds cannot be treated equally and that the rate of hydrogenation (e.g., the ease of hydrogenation) of the C-C double bonds of an organic molecule depend at least in part on the chemical environment around the C-C double bond.

Broecker, at best, discloses that selectivity of C-C vs C-O hydrogenation may be improved for molecules that have only a single C-C unsaturation (and therefore only a single rate of hydrogenation). For example:

The novel process may in principle be used for all  $\alpha,\beta$ -unsaturated carbonyl compounds of the formula (I), the shortened reaction time improving the selectivity with respect to hydrogenation of the double bond, i.e. the individual reaction with the larger rate constant. In a preferred embodiment, the starting material citral is converted to citronellal.

See column 2, lines 28-34 of Broecker (underlining added for emphasis).

Even if Broecker may suggest that the prior art process may be carried out on molecules having more than one C-C double bond (e.g., where R1 is an olefinic moiety), Broecker does not disclose or suggest that the C-C double bonds of the olefinic moiety have different rates of hydrogenation (e.g., having different rate constants). Broecker further does not disclose that the other C-C double bonds may be selectively hydrogenated with respect to the C-O double bond.

The psuedoionone of the present claims has three different C-C double bonds each having a different rate/ease of hydrogenation. The preference to hydrogenate only the C-C double bonds over the C-O double bonds would not have been foreseen by Broecker's disclosure which is relevant to molecules containing one C-C double bond. The extra selectivity, e.g., increased selectivity, of the claimed process was therefore not foreseeable from the prior art relied on by the Office.

Applicants draw the Office's attention to new dependent Claims 23-26 which require certain degrees of hydrogenation conversion and/or selectivity. In contrast, Broecker discloses a hydrogenation of citral which is carried out with a selectivity of 94% and a conversion of 99.5%, substantially less than the 96% selectivity and 99.9% conversion of the new dependent claims.

Applicants further traverse the rejection on the grounds that the Office has not shown nor provided any reasoned technical basis why it would be obvious to selectively hydrogenate the C-C unsaturations of a compound having a multiple C-C unsaturations without hydrogenating a carbonyl moiety in the same molecule. Applicants submit that it is

readily recognized by those of skill in the art that the ease of hydrogenation of different functional groups varies with the nature of the unsaturation. Applicants submit herewith pages 1093-1096 of "Advanced Organic Chemistry, 3<sup>rd</sup> Edition", J. March, Ed., (1985) which show that conjugated olefins are more difficult to hydrogenate than ketone materials of formula RCOR. Pseudoionone, the compound undergoing hydrogenation in the presently claimed process, has conjugated C-C double bonds, see the attached structure for pseudoionone obtained from [www.sigmaaldrich.com](http://www.sigmaaldrich.com). Consistent with Broecker, "Advanced Organic Chemistry" indicates that an internal unsaturation of formula  $RCH=CHR$  undergoes hydrogenation before a carbonyl-containing compound such as a ketone of formula RCOR.

The Office appears to assume that Broecker's disclosure of the selective hydrogenation of a single internal olefinic bond preferential to the hydrogenation of a carbonyl material is evidence that all of the C-C unsaturations of pseudoionone would necessarily be hydrogenated before the carbonyl moiety of pseudoionone. Applicants submit that the Office failed to take into consideration information regarding the ease of hydrogenation of different unsaturated materials in comparison to the ease of saturation of ketonic groups, e.g., such as the attached ease of hydrogenation information provided in "Advanced Organic Chemistry".

Applicants submit that it does not necessarily follow from Broecker's disclosure that all unsaturated carbonyl compounds undergo complete hydrogenation of any C-C double bonds before hydrogenation of any C-O double bonds. In fact, "Advanced Organic Chemistry" teaches just the opposite; namely, that compounds having conjugated C-C unsaturation are much more difficult to hydrogenate in comparison to carbonyl-containing compounds such as ketones of formula RCOR. If the hydrogenation of pseudoionone occurred in the order disclosed in "Advanced Organic Chemistry" the carbonyl moiety would undergo hydrogenation before the C-C unsaturated double bonds of the conjugated

unsaturated system. Consequently it would be impossible to obtain tetrahydrogeranylacetone by hydrogenating pseudoionone. However, as Applicants have shown, it is possible to obtain tetrahydrogeranylacetone from pseudoionone, e.g., when the hydrogenation is carried out with the particular device recited in the present claims.

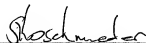
Applicants submit that those of ordinary skill in the art would not expect to obtain tetrahydrogeranylacetone from pseudoionone in view of Broecker or Kaibel in view of the ease of hydrogenation information disclosed in "Advanced Organic Chemistry". Applicants request withdrawal of the rejection.

Applicants draw the Office's attention to new dependent Claims 19-22 drawn to different embodiments of the invention. In particular, Claims 19 and 21 state that the pseudoionone comprises essentially no amount of a diluent. In contrast, Broecker discloses the hydrogenation of citral-containing mixtures that contain 27 wt.% of a diluent such as methanol (see the examples of Broecker). Applicants submit that new dependent Claims 19 and 21 are further patentable over the prior art relied upon by the Office on the ground that those of ordinary skill in the art would have no reason to believe that carrying out the hydrogenation of an essentially pure pseudoionone solution would be an effective means of obtaining tetrahydrogeranylacetone.

For the reasons discussed above in detail, Applicants submit that all now-pending claims are in condition for allowance and respectfully request the mailing of a Notice of Allowance acknowledging the patentability of the presently claimed subject matter.

Respectfully submitted,

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MAIER & NEUSTADT, P.C.  
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Fifth, Completely Revised Edition

Volume A 10:

Ethanolamines to Fibers, 4. Synthetic Organic

Executive Editor: Wolfgang Gerhartz

Senior Editor: Y. Stephen Yamamoto

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Gail Schulz



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## Vol. A 10

## Contents

Ethanolamin  
Ethers, Aliph  
Ethylbenzene  
Ethylene . . .  
Ethylenedian  
Related Ch  
Ethylene Gly  
Ethylene Oxi  
2-Ethylhexan  
Explosives .  
Fats and Fau

### Cross Ref

Ethyl Alcohol  
Ethyl Cellulose  
Ethyl Chloride  
Ethylene Chloride  
Ethylene Glycol  
Ethylene - Propylene  
→ Rubber  
Ethyleneimine  
Ethyl Ether  
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Evaporators  
Exhaust Control  
Expectorant  
Explosive Chlorine  
Lining

## Vol. A 10

RCOOH

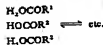
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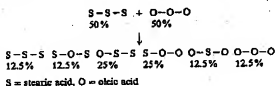
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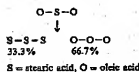
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ical importance  
properties of a fat,  
consistency, to be  
affected by chemi-  
cal (hardening).  
either random or  
selective leads to a  
acid groups over

## Vol. A 10

the triglyceride molecules. This is demonstrated  
for interesterification of equal proportions of  
tristearin (S-S-S) and triolein (O-O-O):



In directed interesterification, the tempera-  
ture is reduced to such an extent that the highest  
melting glycerides are continuously frozen out of  
the reaction mixture, in turn continuously shifting  
the reaction equilibrium. In this way a fat can be  
separated into higher and lower melting fractions.  
The higher melting fraction contains the  
glycerides of saturated fatty acids (stearin frac-  
tion), whereas the glycerides of unsaturated fatty  
acids are found in the lower melting fraction  
(olein fraction). Directed interesterification of  
stearodiolein can yield 33.3% of tristearin and  
66.7% of triolein:



## 3.3. Hydrogenation

The double bonds in a fatty acid chain can be  
wholly or partially saturated by addition of hydro-  
gen in the presence of a suitable catalyst such as  
nickel, platinum, copper, or palladium. Hydro-  
genation always leads to an increase in melt-  
ing point and is therefore also called "hardening".  
Partial hydrogenation can lead to isomerization of  
cis double bonds to trans double bonds.

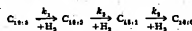
The catalyst, the oil, and the hydrogen must be  
brought into mutual contact under suitable  
temperature and pressure conditions. The reac-  
tion rate depends on mixing intensity, the type of  
oil or fat, temperature, catalyst activity, and con-  
centrations of catalyst and dispersed hydrogen.  
Hydrogenation is an exothermic process. Indus-  
trial nickel catalysts are generally obtained by  
precipitation of nickel hydroxide or carbonate on  
kieselguhr, silica gel, alumina, or similar car-

## Fats and Fatty Oils

189

riers, followed by reduction to metallic nickel, or  
by in situ production of metallic nickel from  
nickel formate. Such heterogeneous catalysts  
have a large activated surface. During hydrogen-  
ation the double bonds form transient com-  
plexes with the active centers of the catalyst.  
These complexes disintegrate after reaction of  
the double bonds with hydrogen, leaving the cat-  
alyst in its original form [26]. The active centers  
of the catalyst can be inactivated or poisoned by  
a number of compounds such as phospholipids,  
sulfur compounds, organic acids, and oxidized  
lipids.

A fatty acid with several double bonds, such as  
linolenic acid ( $\text{C}_{18:3}$ ), is hydrogenated more  
quickly to linoleic acid ( $\text{C}_{18:2}$ ) or oleic acid  
( $\text{C}_{18:1}$ ) than is linoleic acid to oleic acid or oleic  
acid to stearic acid ( $\text{C}_{18:0}$ ). The reaction se-  
quence occurring during hydrogenation can be  
represented schematically as follows:



The term selectivity is used to indicate which  
of these reactions is fastest. Selectivity I is de-  
fined as the ratio  $k_2/k_3$ ; it is related to the pro-  
portion of saturated glycerides formed and to the  
melting behavior of the product. Selectivity II,  
expressed as the ratio  $k_1/k_2$ , must be as high as  
possible if the concentration of linoleic acid in the  
hydrogenated product is to be maximized [27].

Selectivity can be influenced by the catalyst  
type (surface area, pore size, etc.) and by altering  
the reaction conditions. An increase in selectiv-  
ity, i.e., an increase in partial hydrogenation,  
promotes isomerization of cis to trans double  
bonds.

At temperatures above 200 °C and with a low  
hydrogen concentration, catalytic hydrogenation  
of polyunsaturated fatty acid groups can lead to  
the formation of traces of cyclic aromatic com-  
pounds [28].

The double bonds of substituted fatty acids  
such as ricinoleic acid can also be hydrogenated  
under suitable reaction conditions. Cycloprop-  
ene or cyclopropene groups behave as double  
bonds and lead to branched fatty acids on hydro-  
genation.

Iron pentacarbonyl and cobalt octacarbonyl  
are examples of homogeneous hydrogenation  
catalysts.

## 190 Fats and Fatty Oils

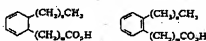
Reduction with hydrazine does not lead to isomerization; there is also no selectivity ( $k_1 = k_2 = k_3$ ).

## 3.4. Isomerization

Naturally occurring fatty acids exist predominantly in the *cis* form. An equilibrium mixture in which the higher melting *trans* form predominates can be formed by heating to 100–200 °C in the presence of catalysts such as nickel, selenium, sulfur, iodine, nitrogen oxides, or sulfur dioxide.

If selenium or oxides of nitrogen and sulfur are used in the *cis-trans* isomerization (elaidinization) of oleic acid, there is virtually no positional isomerization. However, *cis-trans* isomerization of linoleic and linolenic acid leads to conjugated double bonds.

Nonconjugated systems can be isomerized into conjugated systems by heating in an alkaline solution at 200 °C (→ Fatty Acids, p. 269). If reaction times and temperatures are extended, linolenic acid can be converted into cyclohexadiene and benzene derivatives:



Isomerization can occur if oils and fats are heated at temperatures above 100 °C in the presence of bleaching earth, kieselguhr, or activated charcoal.

## 3.5. Polymerization

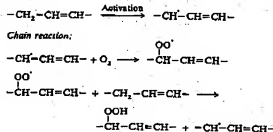
Dimeric, oligomeric, and polymeric compounds are formed by heating unsaturated fatty acids at 200–300 °C [29]. The rate of polymerization increases with increasing degree of unsaturation; saturated fatty acids cannot be polymerized. Thermal polymerization of polyunsaturated fatty acid groups is normally preceded by isomerization and conjugation of double bonds. Thermal polymerization involves formation of new carbon-carbon bonds by combination of acyl radicals and by Diels-Alder reactions, while oxidative polymerization involves formation of C-O-C bonds. Thermal dimerization is catalyzed by Lewis acids such as boron trifluoride; industrial processes for dimer-

izing oleic acid are based on this principle [30]. Heating of oils during refining or during household use does not lead to a significant increase in dimeric triglycerides. Up to 2% dimeric triglycerides can be encountered in fresh raffinate; these dimers are not toxic, and are largely excreted as such.

## 3.6. Autoxidation

Autoxidation, the oxidation of olefins with oxygen, plays a decisive role in the development of rancidity, off-flavors, and reversion flavors in oils and fats during their production and storage. Autoxidation of oil-containing products such as oilseeds and spent bleaching earths can lead to their spontaneous combustion. Autoxidation of drying oils is an important initial stage of polymerization leading to stable surface films (→ Drying Oils, A.9, pp. 62–64).

Autoxidation involves the formation of a hydroperoxide on a methylene group adjacent to a double bond; this step proceeds via a free-radical mechanism:



Autoxidation is characterized by an induction period during which free radicals are formed. This phase is triggered by light (photo-oxygenation), heat, and the presence of compounds that readily form free radicals (e.g., hydroperoxides, peroxides, and transition metals). Photooxygenation, i.e., light-induced oxidation, leads to a particularly fast buildup of radical concentration. The formation of singlet oxygen under the influence of short-wave radiation and a sensitizer such as chlorophyll or erythrosine probably plays a key role in this reaction.

The reactivity of a methylene group in forming a hydroperoxide is enhanced by a second adjacent double bond. Hence linoleic acid oxidizes 10 to 20 times faster than oleic acid. Linolenic acid reacts about three times faster than linoleic acid, since two doubly activated methylene

## Vol. A 10

## Vol. A 10

groups are subsequent linoleic acid hydroperoxides



The intercompounds of different p keto comp and isomeri The volatile process are oxidized oil

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Antioxid by reacting chain reacti phenols are 1 tyhydroxya (BHT), and effective sy ants). Certa tartaric, as synergistic oxidants; th inactivation dized antiox

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# ADVANCED ORGANIC CHEMISTRY

REACTIONS,  
MECHANISMS, AND  
STRUCTURE

THIRD EDITION

**Jerry March**

Professor of Chemistry  
Adelphi University

A Wiley-Interscience Publication

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of two silyl enol ethers. If  
zation with  $\text{TiCl}_4$  leads to a

limerized to 1,4-diketones  
rotic solvent.<sup>410</sup>

the most common reagent,  
acetate.<sup>402</sup>  $\text{Me}_2\text{SO}$ ,<sup>403</sup>  $\text{Br}_2$ ,  
strong oxidizing agents may  
g. if a small amount of base  
reaction between cysteine and

varies with the agent.<sup>405</sup> For

$\text{O}_2$   
involving as it does loss of  
mercaptan  $\text{RSH}$  with diethyl  
other mercaptan  $\text{R'SH}$  is then

a variety of oxidizing agents.  
nate.<sup>410</sup> and sodium perborate

hi. Taguchi, and Tokuno. *Tetrahedron*  
ents, with references, see Block. Ref.

Harpp, Ash, Buck, Gleason, Orvig,  
m. Soc., *Chem. Commun.* 407 (1977).





in acetic acid. *t*-Butyl hydroperoxide has been used to oxidize certain primary amines to azoxy compounds.<sup>411</sup>  
OS V, 341.

### Reductions: Selectivity<sup>411a</sup>

It is often necessary to reduce one group in a molecule without affecting another reducible group. It is usually possible to find a reducing agent that will chemoselectively do this. The most common broad-spectrum reducing agents are the metal hydrides<sup>412</sup> and hydrogen (with a catalyst).<sup>413</sup> Many different metal-hydride systems and hydrogenation catalysts have been investigated in order to find conditions under which a given group will be reduced without reducing another group in the same molecule. Tables 2, 3, and 4 list the reactivity of various functional groups toward catalytic hydrogenation,  $\text{LiAlH}_4$ , and  $\text{BH}_3$ , respectively.<sup>414,415</sup> Table 5 shows which groups can be reduced by catalytic hydrogenation and various metal hydrides.<sup>416</sup> Of course, the tables cannot be exact,

**TABLE 2** The ease of reduction of various functional groups toward catalytic hydrogenation<sup>416</sup>

The groups are listed in approximate order of ease of

reduction			
Reaction	Substrate	Product	
0-84	$\text{RCOCl}$	$\text{RCHO}$	Easiest
9-48	$\text{RNO}_2$	$\text{RNH}_2$	
5-10	$\text{RC}\equiv\text{CR}$	$\text{RCH}=\text{CHR}$	
6-26	$\text{RCHO}$	$\text{RCH}_2\text{OH}$	
5-10	$\text{RCH}=\text{CHR}$	$\text{RCH}_2\text{CH}_2\text{R}$	
6-26	$\text{RCOR}$	$\text{RCHOHR}$	
0-80	$\text{ArCH}_2\text{OR}$	$\text{ArCH}_2 + \text{ROH}$	
6-28	$\text{RC}\equiv\text{N}$	$\text{RCH}_2\text{NH}_2$	
5-11			
9-43	$\text{RCOOR}'$	$\text{RCH}_2\text{OH} + \text{R'OH}$	
9-40	$\text{RCONHR}'$	$\text{RCH}_2\text{NHR}'$	
5-11			Most difficult
9-39	$\text{RCOO}^-$		Inert

<sup>411</sup>Kossowig, *Liebigs Ann. Chem.* 749, 206 (1971).

<sup>411a</sup>For monographs on reductions in general, see Hudlicky, "Reductions in Organic Chemistry," Wiley, New York, 1984; Augustine, "Reduction," Marcel Dekker, New York, 1968. For a review, see Candlin and Rennie, in Bentley and Kirby, Ref. 12, pp. 77-135.

<sup>412</sup>For discussions of selectivity with metal hydride reducing agents, see Brown and Krishnamurthy, *Tetrahedron* 35, 567-617 (1979); Walker, *Chem. Soc. Rev.* 5, 23-50 (1976); Brown, "Boranes in Organic Chemistry," pp. 209-251, Cornell University Press, Ithaca, N.Y., 1972; Renick, in Augustine, Ref. 411a. For books, see Hajós, Ref. 10 and Gaylord, Ref. 10.

<sup>413</sup>For a discussion of catalyst selectivity for hydrogenations, see Rylander, *Aldrichimica Acta*, 12, 53-57 (1979).

<sup>414</sup>Table 2 is from House, Ref. 10, p. 9.

<sup>415</sup>Tables 3 and 4 are from Brown, Ref. 412, pp. 213 and 232, respectively.

<sup>416</sup>The first ten columns are from Brown and Krishnamurthy, Ref. 412, p. 604. The columns on  $(i\text{-Bu})_2\text{AlH}$  and  $\text{NaAlEt}_2\text{H}$  are from Stinson, *Chem. Eng. News* 58, No. 44, 19 (Nov. 3, 1980). The column on  $\text{LiEt}_3\text{H}$  is from Brown, Kim, and Krishnamurthy, *J. Org. Chem.* 45, 1 (1980). For a similar table that shows additional reducing agents, see Hajós, Ref. 10, pp. 16-17. For tables showing which agents reduce a wide variety of functional groups, see Hudlicky, Ref. 411a, pp. 177-201.

**TABLE 3** The ease of reduction of various functional groups with  $\text{LiAlH}_4$  in ether<sup>41a</sup>

However,  $\text{LiAlH}_4$  is a very powerful reagent, and much less chemoselectivity is possible here than with most of the other metal hydrides

Reaction	Substrate	Product	
4-56	$\text{RCH}_3$	$\text{RCH}_2\text{OH}$	Easiest
4-56	$\text{RCOR}$	$\text{RCHOHR}$	
4-56	$\text{RCOCl}$	$\text{RCH}_2\text{OH}$	
4-56	Lactone	Diol	
0-81	$\text{RCH}=\text{CHR}$	$\text{RCH}_2\text{CHOHR}$	
9-43	$\text{RCOOR}'$	$\text{RCH}_2\text{OH} + \text{R}'\text{OH}$	
9-39	$\text{RCOOH}$	$\text{RCH}_2\text{OH}$	
9-39	$\text{RCOO}^-$	$\text{RCH}_2\text{OH}$	
4-40	$\text{RCONR}'$	$\text{RCH}_2\text{NHR}'$	
4-28	$\text{RC}\equiv\text{N}$	$\text{RCH}_2\text{NH}_2$	
4-40	$\text{RNC}$	$\text{RNH}_2$	
9-68	$\text{ArNC}$	$\text{ArN}=\text{NAr}$	Most difficult
2-10	$\text{RCH}=\text{CH}_2$		Inert

because the nature of R and the reaction conditions obviously affect reactivity. Nevertheless, the tables do give a fairly good indication of which reagents reduce which groups.<sup>41</sup>  $\text{LiAlH}_4$  is a very powerful and unselective reagent.<sup>42</sup> Consequently, other metal hydrides are generally used when chemoselectivity is required. As mentioned on p. 813, a number of less reactive (and more selective) reagents have been prepared by replacing some of the hydrogens of  $\text{LiAlH}_4$  with alkoxy groups (by treatment of  $\text{LiAlH}_4$  with  $\text{ROH}$ ).<sup>43</sup> Most of the metal hydrides are nucleophilic reagents and

**TABLE 4** The ease of reduction of various functional groups with borane<sup>44</sup>

It is evident that this reagent and  $\text{LiAlH}_4$  (Table 3) complement each other

Reaction	Substrate	Product	
9-39	$\text{RCOOH}$	$\text{RCH}_2\text{OH}$	Easiest
5-12	$\text{RCH}=\text{CH}_2$	$\text{RCH}_2\text{CH}_2\text{H}$	
4-16	$\text{RCOR}$	$\text{RCHOHR}$	
4-16	$\text{RCN}$	$\text{RCH}_2\text{NH}_2$	
4-81	$\text{RCH}=\text{CH}_2$	$\text{RCH}_2\text{CH}_2\text{OH}$	
4-41	$\text{RCOOH}$	$\text{RCH}_2\text{OH} + \text{R}'\text{OH}$	Most difficult
0-84, 5-17	$\text{RCOO}^-$		Inert

<sup>41a</sup> See also the table in Ref. 4.

<sup>42</sup> For a review of  $\text{LiAlH}_4$ , see Finzy, Ref. 10, vol. 3, pp. 101-114.

<sup>43</sup> For a review, see Heflich and Cerny, *Synthesis* 217-224 (1972).

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TABLE 5 Reactivity of various functional groups with some metal hydrides and toward catalytic hydrogenation<sup>a</sup>; + indicates a borderline case.

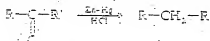
Reaction	NabH <sub>4</sub> in EtOH	NabH <sub>4</sub> + LiCl in diglyme	NabH <sub>4</sub> + AlCl <sub>3</sub> in diglyme	BH <sub>3</sub> ·THF <sup>418</sup>	Bis-3-methyl-2-butyl- borane (disiamylborane) in THF <sup>419</sup>	9-BBN <sup>420</sup>	LiAlH(O- <i>i</i> -Bu) <sub>3</sub> in THF	LiAlH(OMe) <sub>3</sub> in THF	LiAlH <sub>4</sub> in ether	AlH <sub>3</sub> in THF <sup>421</sup>	LiBEt <sub>3</sub> H <sup>422</sup>	( <i>i</i> -Bu) <sub>3</sub> AlH(OiBuAl-H)	NaAlEt <sub>2</sub> H <sub>2</sub>	Catalytic hydrogenation
6-26 RCHO → RCH <sub>2</sub> OH	+	+	+	+	+	+	+	+	+	+	+	+	+	+
6-26 RCOOR' → RCHOHR	+	+	+	+	+	+	+	+	+	+	+	+	+	+
6-26 RCHO	+	+	+	+	+	+	+	+	+	+	+	+	+	+
0-84 RCOCl	+	+	+	+	+	+	+	+	+	+	+	+	+	+
9-46 RCH <sub>2</sub> OH	+	+	+	+	+	+	+	+	+	+	+	+	+	+
9-43 Lactone → diol	+	+	+	+	+	+	+	+	+	+	+	+	+	+
0-81 Epoxide → alcohol	+	+	+	+	+	+	+	+	+	+	+	+	+	+
9-43 RCOOR' → RCH <sub>2</sub> OH + ROH	+	+	+	+	+	+	+	+	+	+	+	+	+	+
9-39 RCOOH → RCH <sub>2</sub> OH	+	+	+	+	+	+	+	+	+	+	+	+	+	+
9-39 RCHO → RCH <sub>2</sub> OH	+	+	+	+	+	+	+	+	+	+	+	+	+	+
9-40 RCHO → RCH <sub>2</sub> NR'	+	+	+	+	+	+	+	+	+	+	+	+	+	+
9-40 RCONR'	+	+	+	+	+	+	+	+	+	+	+	+	+	+
0-86 RCHO	+	+	+	+	+	+	+	+	+	+	+	+	+	+
6-28 RC≡N → RCH <sub>2</sub> NH <sub>2</sub>	+	+	+	+	+	+	+	+	+	+	+	+	+	+
9-48 RNO <sub>2</sub>	+	+	+	+	+	+	+	+	+	+	+	+	+	+
9-68 RNO <sub>2</sub>	+	+	+	+	+	+	+	+	+	+	+	+	+	+
5-10 RCH=CHR → RCH <sub>2</sub> CH <sub>2</sub> R	+	+	+	+	+	+	+	+	+	+	+	+	+	+

attack the carbon atom of a carbon-hetero single or multiple bond. However,  $\text{BH}_3^{42}$  and  $\text{AlH}_3^{43}$  are electrophiles (Lewis acids) and attack the hetero atom. This accounts for the different patterns of selectivity shown in the tables.

The reactions in this section are grouped into classifications based on bond charges, similar to those used for the oxidation reactions. These sections are: (A) reactions involving replacement of oxygen by hydrogen, (B) reactions in which an oxygen is removed from the substrate, (C) reduction with cleavage, and (D) reductive coupling.

A. Reactions Involving Replacement of Oxygen by Hydrogen. In reactions 9-38 to 9-42, a  $\text{C}=\text{O}$  is reduced to a  $\text{CH}_2$  group.

### 9-38 Reduction of Carbonyl to Methylene in Aldehydes and Ketones Dihydro-de-oxo-bisubstitution<sup>44</sup>



There are various ways of reducing the  $\text{C}=\text{O}$  group of aldehydes and ketones to  $\text{CH}_2$ .<sup>45</sup> The two most important methods are the Clemmensen reduction and the Wolff-Kishner reduction (in the Russian literature this is called the *Rosenmund reaction*). The Clemmensen reduction consists of heating the aldehyde or ketone with zinc amalgam and aqueous  $\text{HCl}$ .<sup>46</sup> Ketones are reduced more often than aldehydes. In the Wolff-Kishner reduction,<sup>47</sup> the aldehyde or ketone is heated with hydrazine hydrate and a base (usually  $\text{NaOH}$  or  $\text{KOH}$ ). The Huang-Minton modification<sup>48</sup> of the Wolff-Kishner reaction, in which the reaction is carried out in refluxing diethylene glycol, has completely replaced the original procedure. The reaction can also be carried out under more moderate conditions (room temperature) in dimethyl sulfoxide with potassium *t*-butoxide as base.<sup>49</sup> The Clemmensen reaction can also be applied to the semicarbazones of aldehydes or ketones. The Clemmensen reduction is usually easier to perform, but it fails for acid-sensitive and high-molecular-weight substrates. For these cases the Wolff-Kishner reduction is quite useful. For high-molecular-weight substrates, a modified Clemmensen reduction, using activated zinc and gaseous  $\text{HCl}$  in an organic solvent such as ether or acetic anhydride, has proved successful.<sup>50</sup> The Clemmensen and Wolff-Kishner reactions are complementary, since the former uses acidic and the latter basic conditions.

Both methods are fairly specific for aldehydes and ketones and can be carried out with many

<sup>42</sup>Reacts with solvents; reduced in aprotic solvents.

<sup>43</sup>Brown, Kim, and Krishnamurthy, *Ref.* 219. For a review of the synthesis of allyl-substituted porous dioxides, see Brown, Singamsetti, and Singamsetti, *J. Organomet. Chem.*, **125**, 47-64 (1967).

<sup>44</sup>Brown, Sigley, Arora, and Yoon, *J. Am. Chem. Soc.*, **92**, 7461 (1970). For reductions with therylborane, see Brown, Heim, and Yoon, *J. Org. Chem.*, **37**, 2542 (1972).

<sup>45</sup>Brown, Krishnamurthy, and Yoon, *J. Org. Chem.*, **37**, 2776 (1972).

<sup>46</sup>See Brown, Heim, and Yoon, *J. Am. Chem. Soc.*, **92**, 1217 (1970); Trapp, "Organoboranes in Organic Synthesis," pp. 37-57; Marchi, Denker, *Rev. Chim.*, **1977**, five reviews of reductions with  $\text{B}_2\text{H}_6$ , see *Long. Chem. Rev.*, **50**, 773-81 (1970); *Adichidulata Acta* **16**, 1-51 (1977); Brown and Krishnamurthy, *Adichidulata Acta* **12**, 3-11 (1979). For a review of reductions with borane carbides, see Beller, *Chem. Ber.*, **101**, 889-898 (1973).

<sup>47</sup>Wolff, Zerner, and Kishner, *J. Am. Chem. Soc.*, **88**, 548-549 (1966); Wolff, Kishner, and Brown, *J. Am. Chem. Soc.*, **91**, 1947 (1969).

<sup>48</sup>The name also applies to reaction 9-41, p. 1045.

<sup>49</sup>For a review, see Kozulov, in *Organic Reactions*, **27**, 111-121.

<sup>50</sup>For a review, see Trapp, *Org. Synth.*, **4**, 42-61 (1955); Gaudin, *Synthesis of Chemicals*, **11**, 124-125 (1972); see also Trapp, *ibid.*, **12**, 101-103 (1973). For a discussion of experimental conditions, see Fieser and Fieser, *Ref.* 49, pp. 128-131.

<sup>51</sup>For a review, see Wolff, *Adv. Chem. Ser.*, **10**, 1-10 (1962).

<sup>52</sup>Huang-Minton, *J. Am. Chem. Soc.*, **80**, 2487 (1958); *ibid.*, **81**, 330 (1959).

<sup>53</sup>Cram, Sabharwal, and Koenig, *J. Am. Chem. Soc.*, **84**, 1750 (1962); also see Gaudin, Hoshino, and Koenig, *J. Chem. Soc.*, **1963**, 1369; Brier, and Lamb, *J. Am. Chem. Soc.*, **85**, 1645 (1963).

<sup>54</sup>Yamamoto, Ueda, and Hirata, *Chem. Pharm. Bull.*, **1961** (1970); Yoon, Kishino, Hirata, and Yamamoto, *Intl. Chem. Soc. Jpn.*, **25**, 126 (1972).